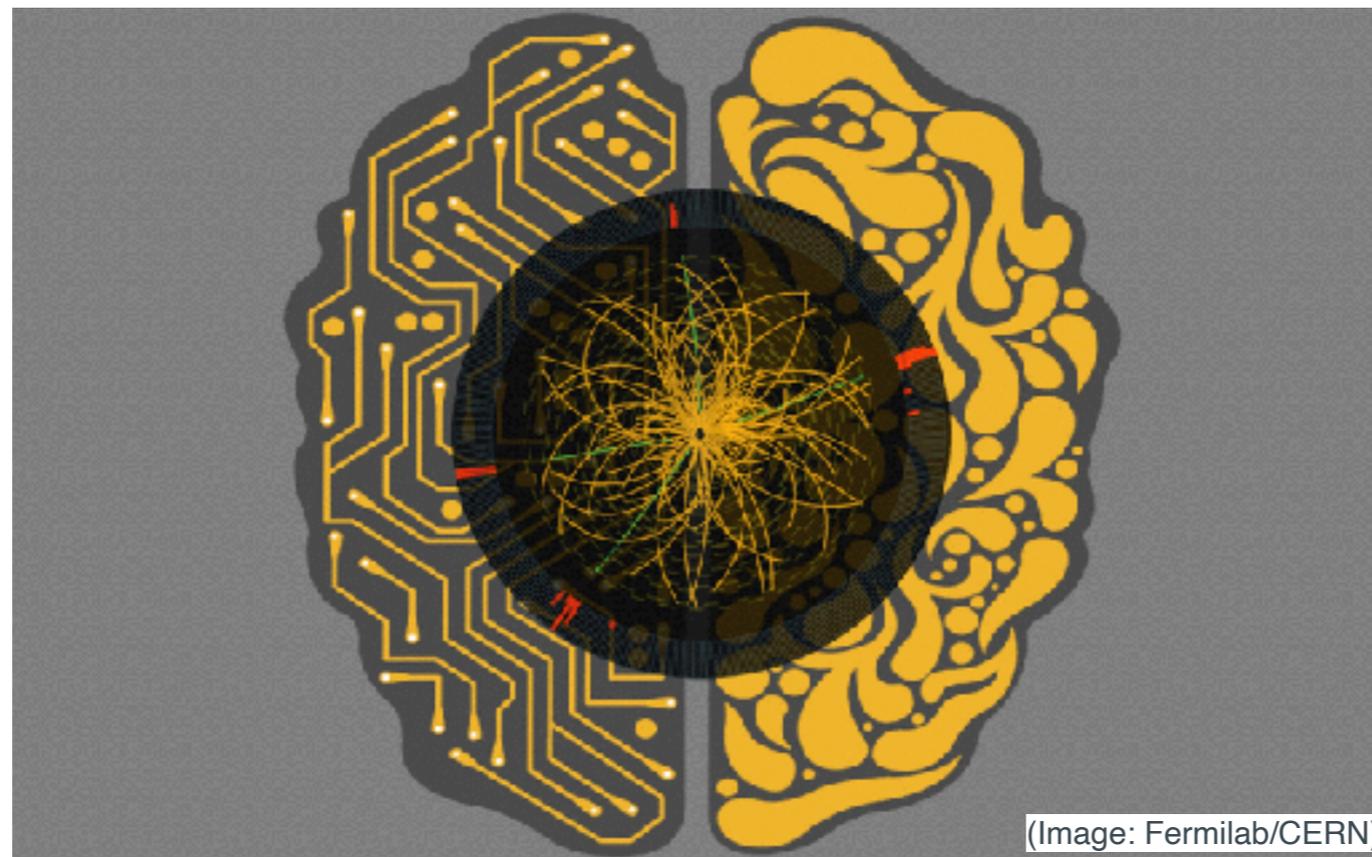


PHY 835: Collider Physics Phenomenology

Machine Learning in Fundamental Physics

Gary Shiu, UW-Madison



Lecture 11: Convolutional Neural Networks

Recap of Lecture 10

- Feedforward neural networks
- Backpropagation
- Regularization

References: Deep Learning Book, 1803.08823

Outline for today

- Convolutional neural networks (CNNs)
- Convolutional Layer and Pooling layer
- Workflow for Deep Learning

References: Deep Learning Book, 1803.08823

Stanford CS23 (Andrej Karpathy & Fei-Fei Li): <https://cs231n.github.io>

Benchmark Datasets

- **MNIST** database: images of digits
- **ImageNet** database: $\geq 1.4 \times 10^7$ images (hand annotated), $\geq 20,000$ categories (e.g. screwdriver, each with $\mathcal{O}(1000)$ examples), <http://image-net.org>
- Performance of algorithms measured on benchmark datasets.
- Other datasets for different problems (e.g. 3D object recognition, Language: Wordnet)
- Think of some physics examples?



Synset: [tiger cat](#)

Definition: a cat having a striped coat.

Popularity percentile: 78%

Depth in WordNet: 8



Synset: [lesser panda](#), [red panda](#), [panda](#), [bear cat](#), [cat bear](#)

Definition: reddish-brown Old World raccoon-like carnivor giant pandas.

Popularity percentile: 68%

Depth in WordNet: 12



Synset: [Egyptian cat](#)

Definition: a domestic cat of Egypt.

Popularity percentile: 67%

Depth in WordNet: 8



Synset: [Persian cat](#)

Definition: a long-haired breed of cat.

Popularity percentile: 59%

Depth in WordNet: 8



Synset: [tabby](#), [tabby cat](#)

Definition: a cat with a grey or tawny coat mottled with black.

Popularity percentile: 58%

Depth in WordNet: 8



Synset: [Siamese cat](#), [Siamese](#)

Definition: a slender short-haired blue-eyed breed of cat

Popularity percentile: 57%

Depth in WordNet: 8



Synset: [Madagascar cat](#), [ring-tailed lemur](#), [Lemur catta](#)

Definition: small lemur having its tail barred with black.

Popularity percentile: 45%

Depth in WordNet: 12

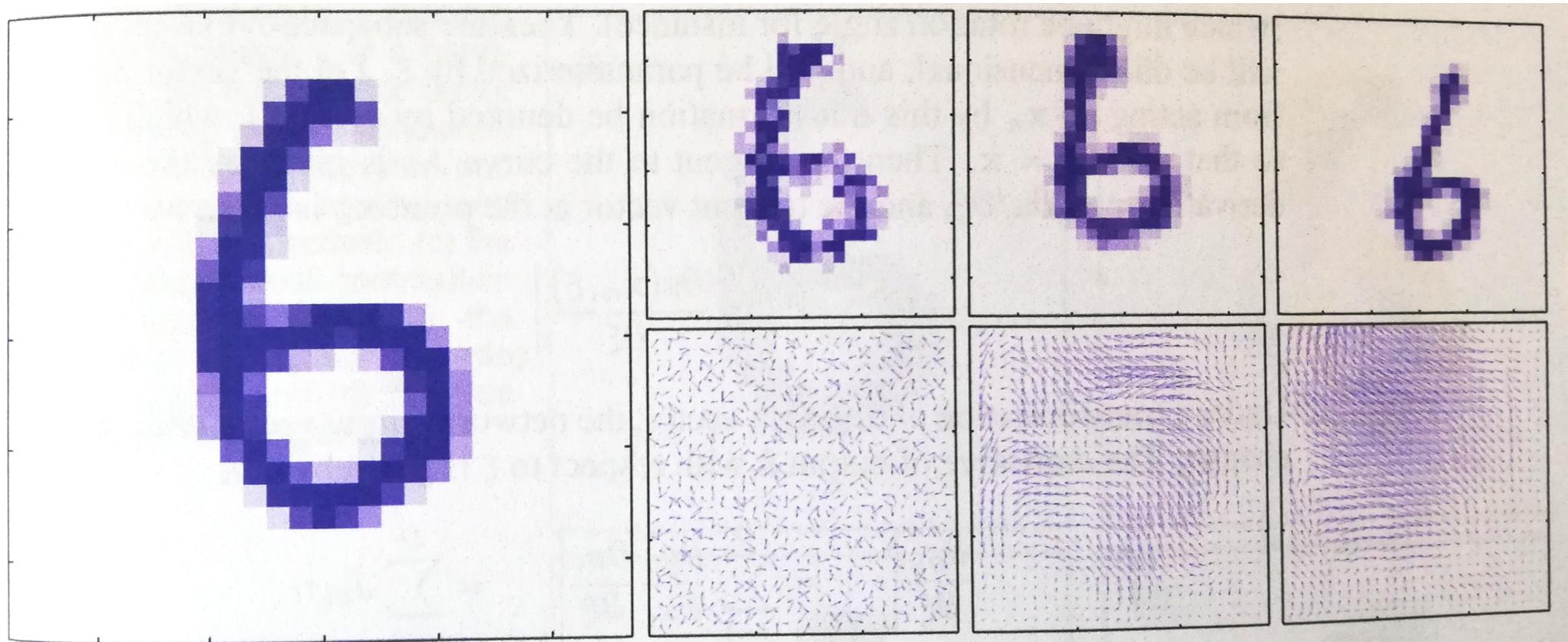
Learning with Symmetries



- **Locality:** features that define a “cat” are local in the picture: whiskers, tail, paws, ...
- **Translational invariance:** Cats can be anywhere in the image.
- **Rotational invariance:** Relative position of features must be respected (e.g. whiskers and tail should appear in opposite sides)
- Our classifier should exhibit all these high-level structures.

Learning with Symmetries

- Consider classification of digits:

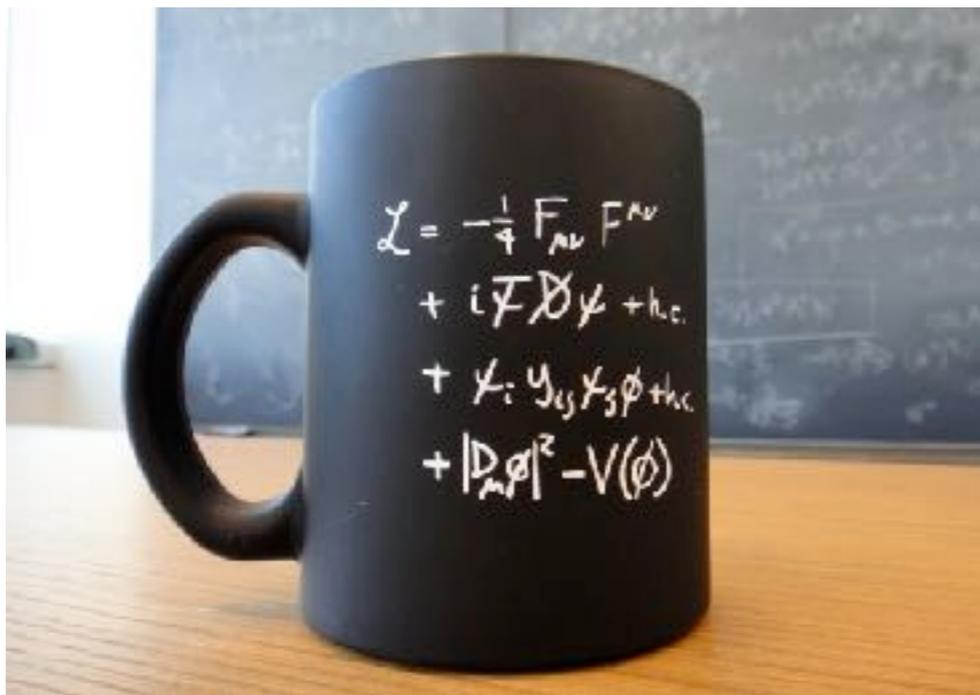


- What symmetries should be built-in in ML classifiers?

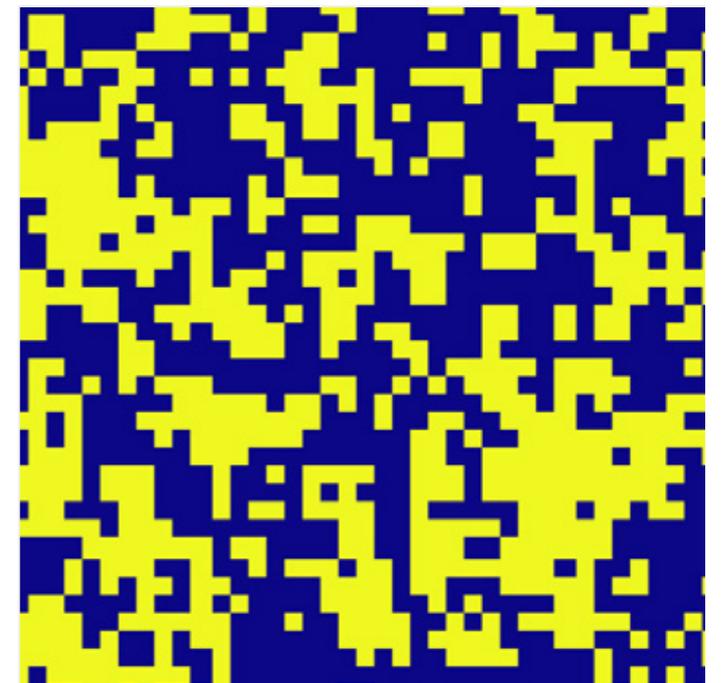
Translation, scaling, small rotations, smearing, elastic deformations.

Locality and Symmetries

- **Locality** & **Symmetries**: basic principles underlying physical laws.
- Physics is governed by **local interactions**. Think about QFT, relativity, and statistical physics:



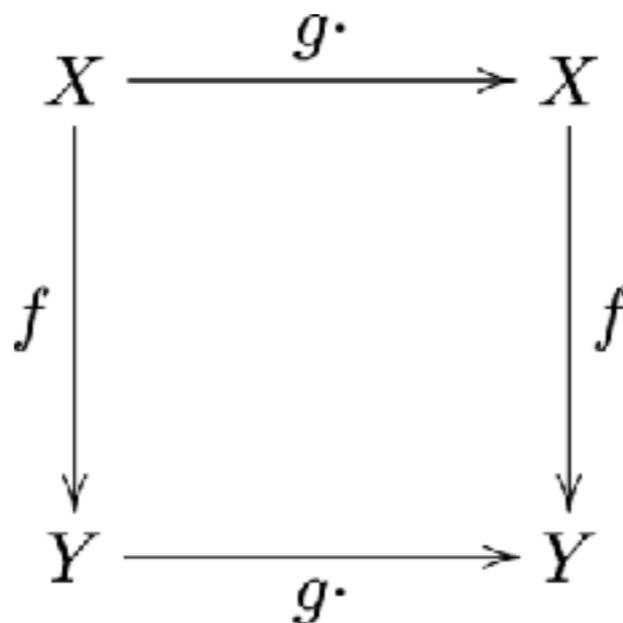
Vision tasks: local features matters, e.g.. whiskers, edge of a table, ...



$$H = -J \sum_{\langle ij \rangle} S_i S_j$$

Locality and Symmetries

- **Symmetries** are at the heart of physics. For example, translation invariance allows to work in momentum space → less parameters
- In relativity and quantum field theory, Poincare-symmetry (translations, rotations, boosts) is essential.
- Gauge symmetries are ubiquitous in QFT and gravity. Equivariant CNNs (Cohen, Welling 2016). We will come back to this...
- $f(x)$ is equivariant if we change the input in a particular way as $x' = g \cdot x$, the output changes in the same way: $f(g \cdot x) = g \cdot f(x)$:



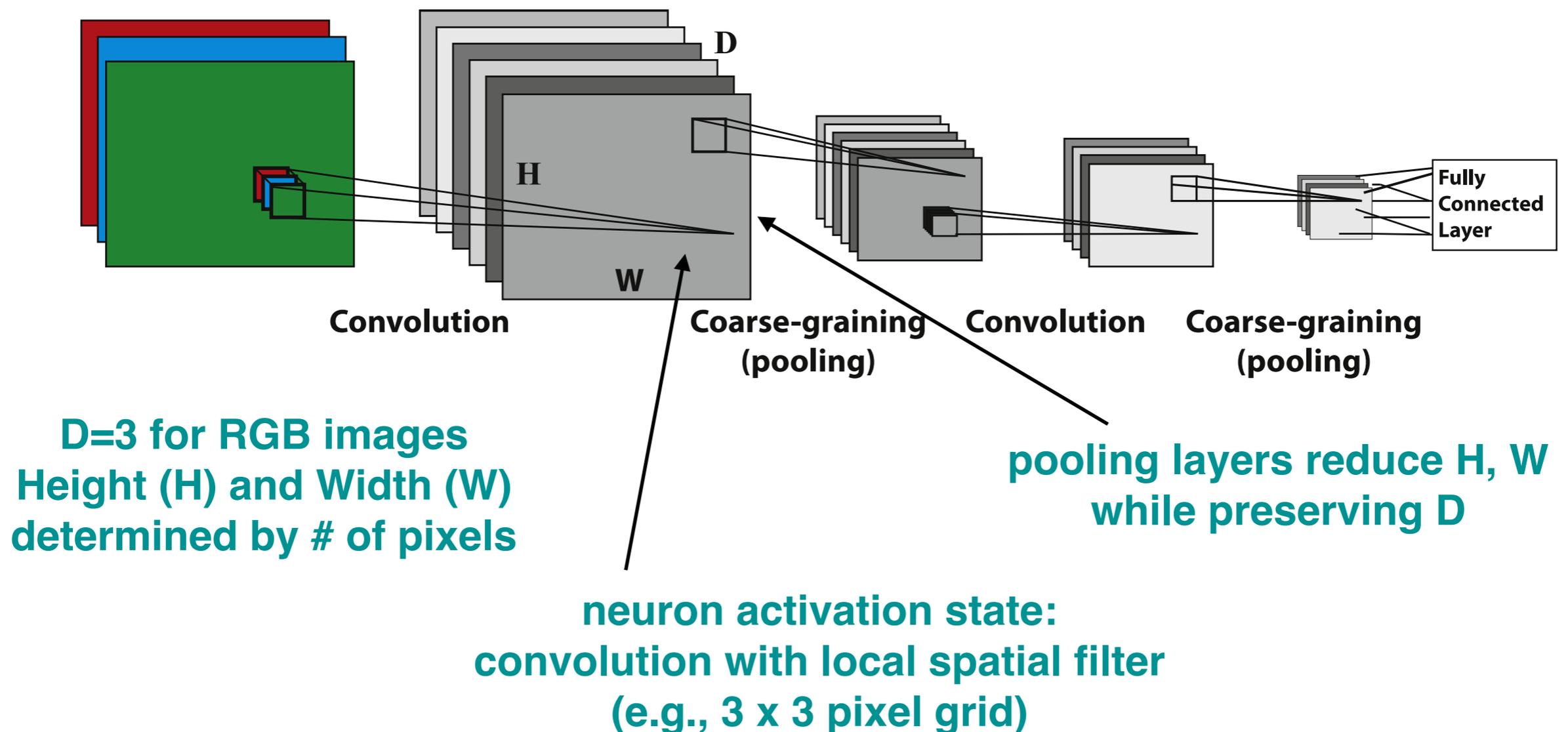
Convolutional Neural Networks

- The simplest approach would be to input the images to a **fully connected NN** which given enough training data (and time) would **learn the symmetries** by example.
- However, a crucial property is ignored: **nearby pixels are strongly correlated** we should aim instead first to **identify local features** that depend on small subregions.
- For example, treating the spin configuration of the 2d Ising model as a $L \times L$ dimensional vector ($L =$ number of sites in each linear direction) throws away spatial information (e.g., domain wall)
- Convolutional Neural Networks (CNNs) are architectures that **take advantage of this additional high-level structures** that all-to-all coupled networks fail to exploit.

Convolutional Neural Networks

A CNN is a translationally invariant neural network that respects locality of the input data.

**Depth: number of input channels
(not depth of neural network)**



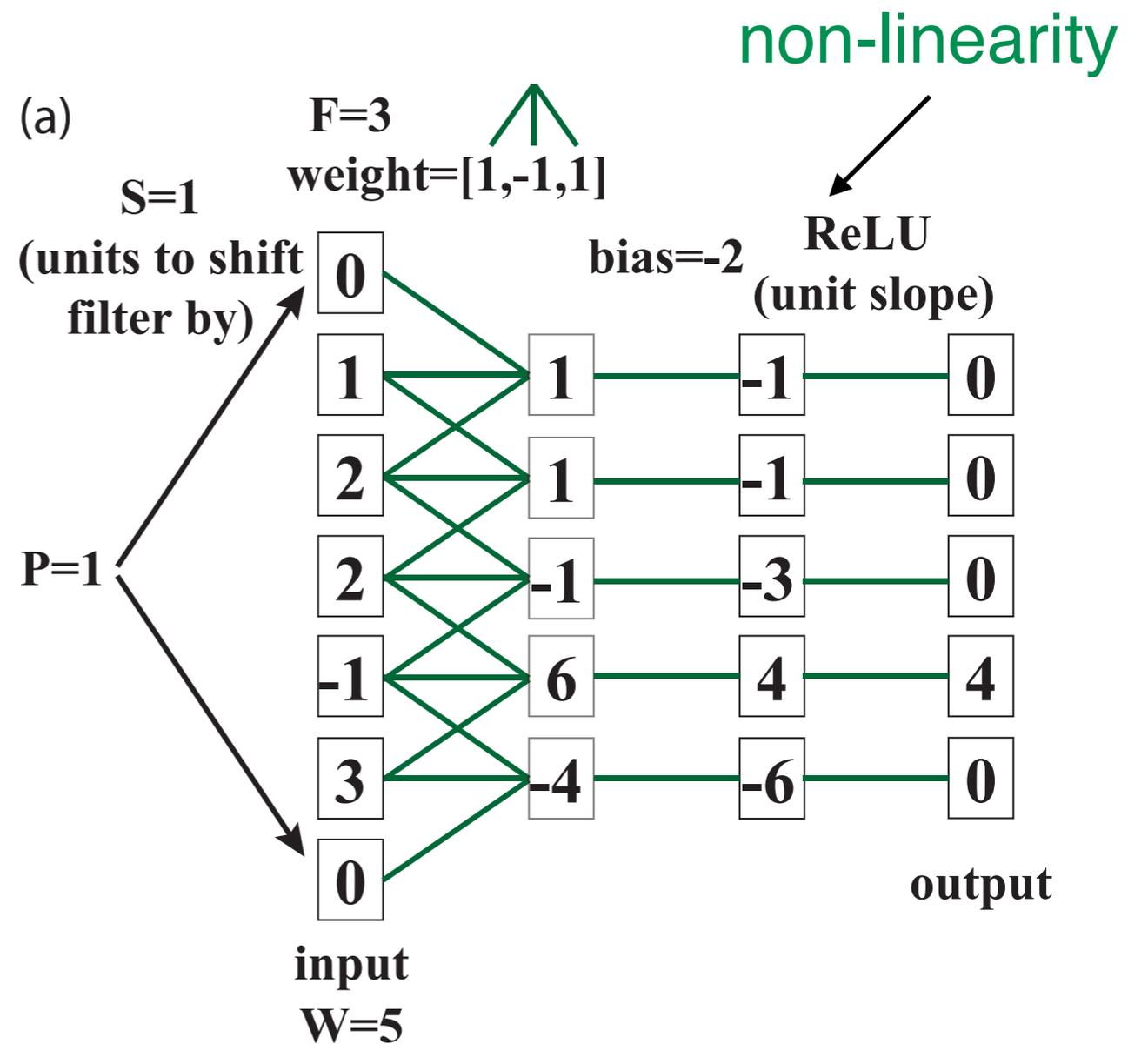
Convolutional Neural Networks

CNNs are composed by **two** kinds of layers

example of convolutional layer

note that convolution changes the depth, but not the height and width of the network

Convolution of input with filters



e.g. $0*1 + 1*(-1) + 1*2 - 2 = -1$

Convolutional Neural Networks

F=receptive field size of the Conv Layer neurons

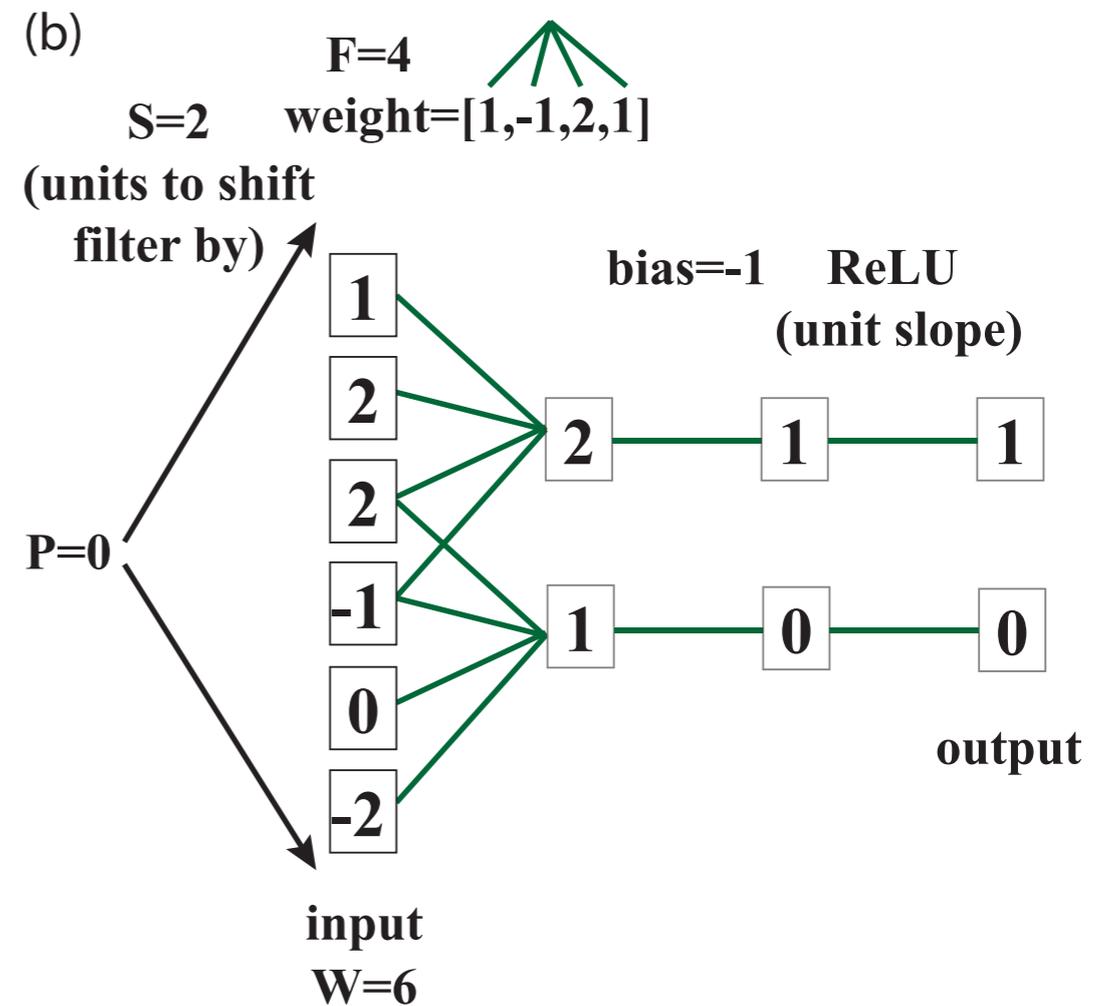
S=stride

P=amount of zero padding on the border

Number of neurons (outputs) in the layer:

$$(W-F+2P)/S+1$$

another example
(with no padding)



Convolutional Neural Networks

CNNs are composed by **two** kinds of layers

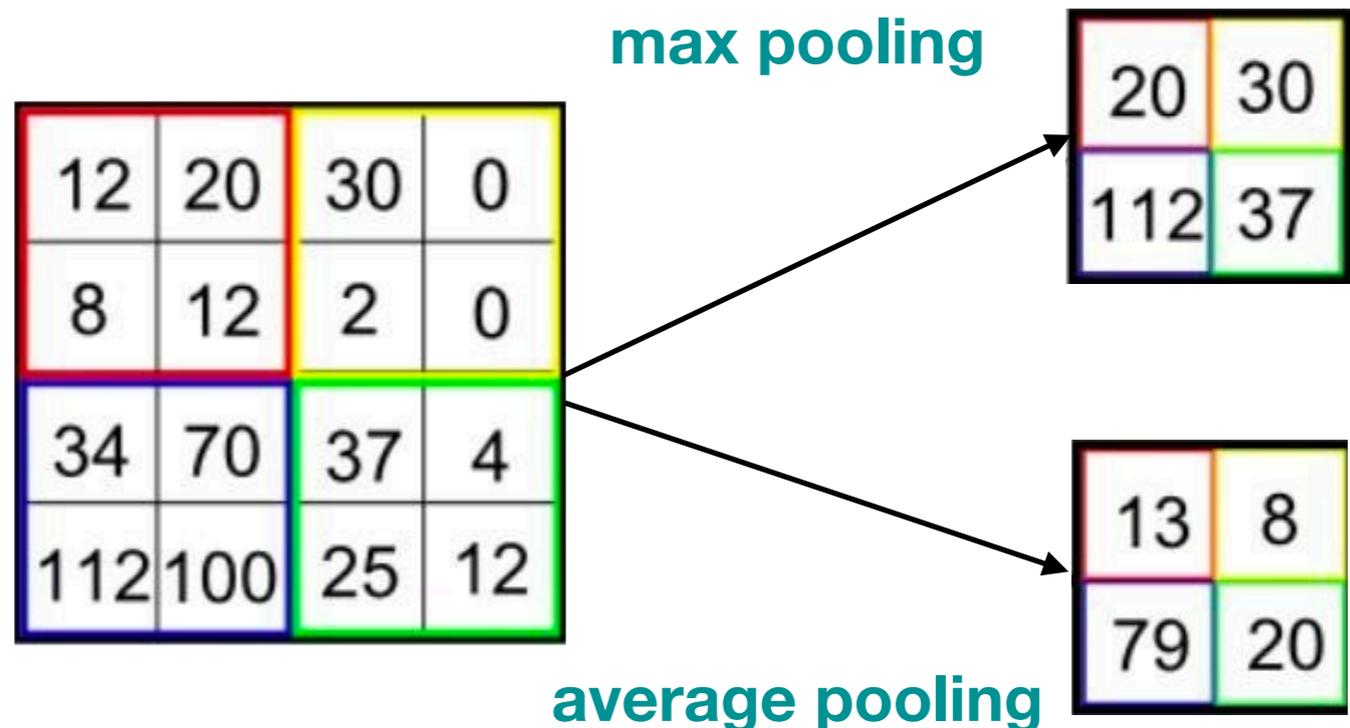
Convolution of input with filters

Pooling layer that coarse-grains the input while maintaining locality and spatial structure

~ decimation in RG

reduces the dim. of outputs

In this example, by pooling over 2x2 blocks, H and W are reduced by half.



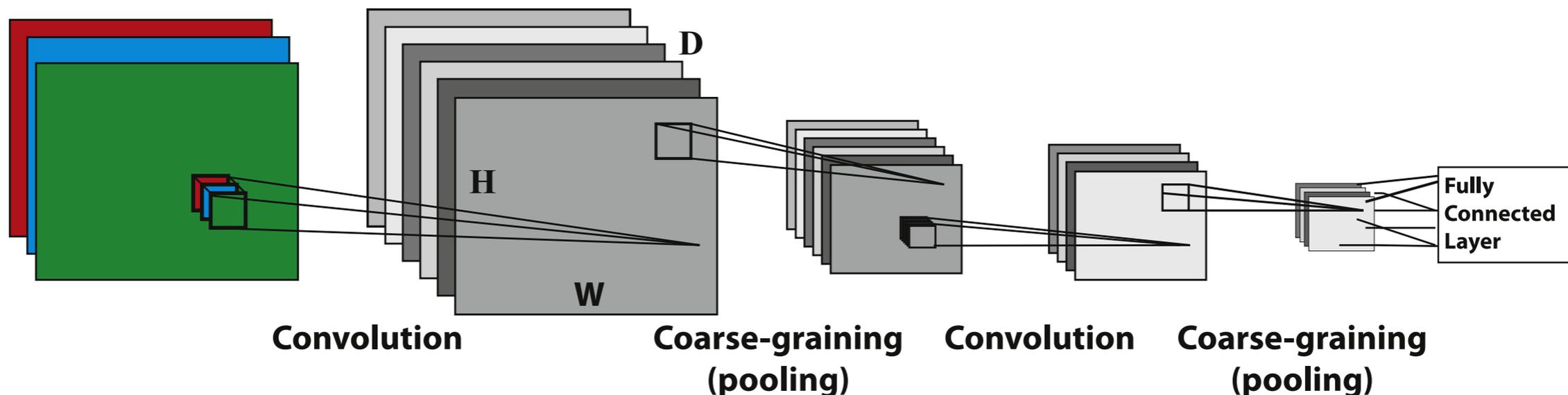
Convolutional Neural Networks

CNNs are composed by **two** kinds of layers

Convolution of input with filters

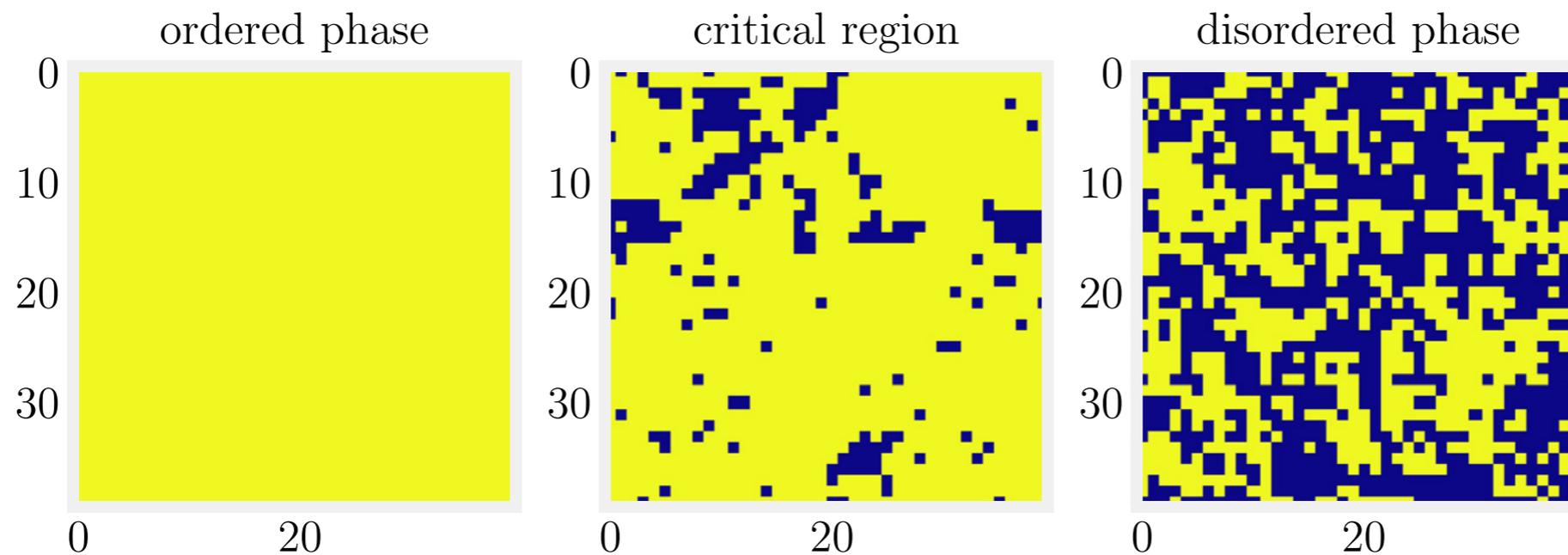
Pooling layer that coarse-grains the input while maintaining locality and spatial structure

These layers are followed by an **all-to-all connected layer** and a **high-level classifier**, so that one can train CNNs using the standard backpropagation algorithm:



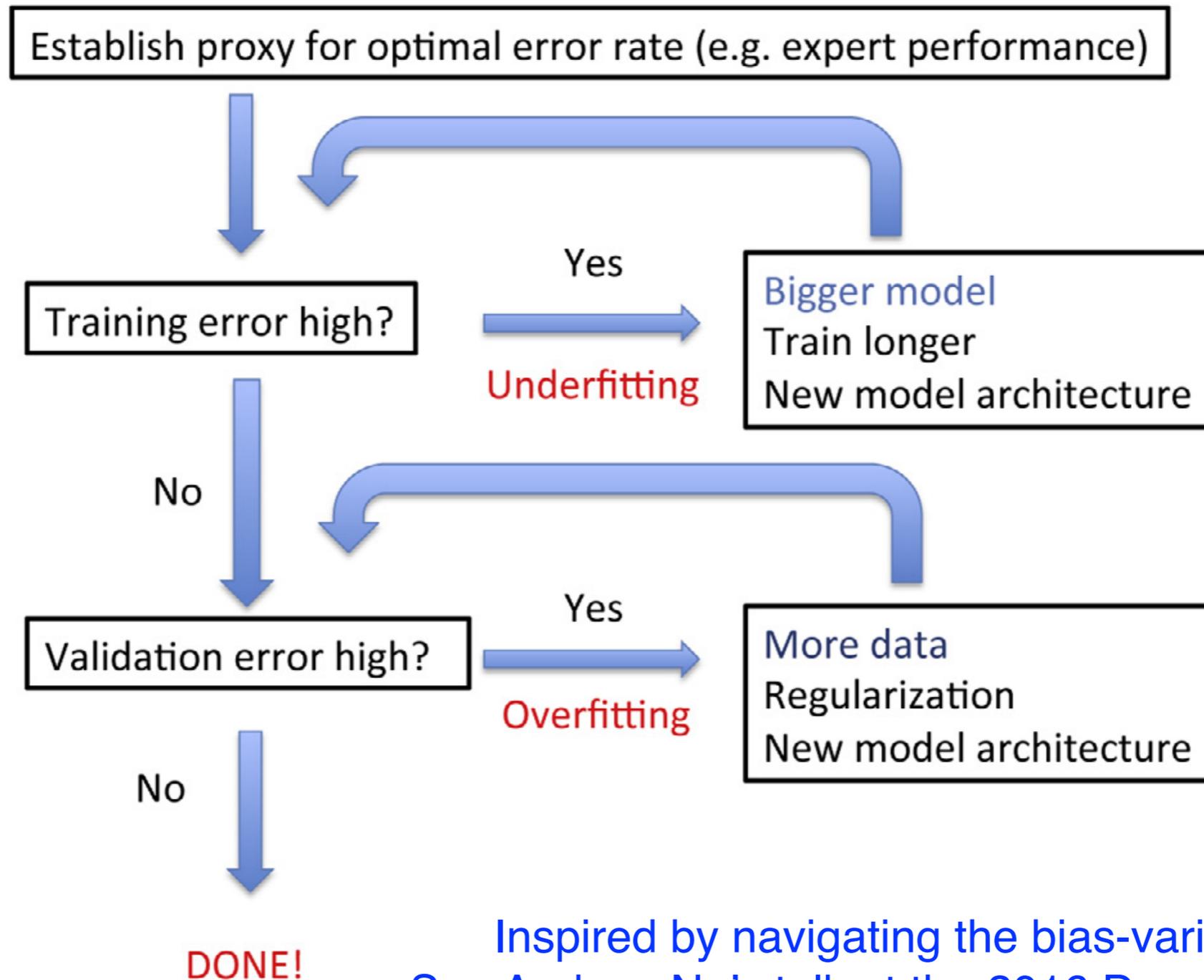
Convolutional Neural Networks

- Significantly reduce the number of parameters: determined by the number and size of the filters. Further reduced by pooling.
- Only problems characterized by spatial locality are amenable to CNNs, e.g., Ising model and MNIST but not SUSY datasets.



- See Notebook 14: Pytorch CNN (Ising); MNIST example in Ex. 5.
- Can you think of the types of datasets in particle physics and cosmology that are amenable to CNNs?

Workflow for Deep Learning



Inspired by navigating the bias-variance tradeoff.
See Andrew Ng's talk at the 2016 Deep Learning School:
<https://www.youtube.com/watch?v=F1ka6a13S9I>

Summary

- Convolutional neural networks (CNNs)
- Convolutional Layer and Pooling Layer
- Workflow for Deep Learning